



Guidelines

For applying to the Ministry of Health Oral Health Research Fund in 2016

Information Sheet for Applicants– *Please read carefully*

1. Application and Award: applications for the next round of grants from the Ministry of Health Research Fund close on **30 April 2016**. Each applicant must provide 11 copies of their application (**including** unstapled master copy) together with an **e-copy in PDF format** emailed to the Associate Director – Research & Policy (see 15. below). Recipients will be advised of their awards (or otherwise) within 3 weeks following the mid-late July awards meeting. If the NZDA's discussions and assessment process or other circumstances make it necessary to extend the date by which the NZDA wishes to notify applicants of the outcome, the NZDA may notify applicants who have submitted a proposal of the necessary extension of time.
2. There are no prescribed forms for grant applications (with the exception of Ethical and Administrative Agreements, Health Information Privacy acknowledgement and List of Researchers in the Field Confirmation Statement below). Applications should be submitted using the enclosed guidelines as appropriate. Please type your application on A4 paper, single sided, using font size 12. Appendices such as quotations should be submitted on A4 size paper. A completed Ethical Agreement is not required with this application however if the research requires Ethics Committee approval and/or registration as a clinical trial, funding will not be released until evidence of Ethical Agreement and, when applicable, clinical trial registration is received by the Panel (Section 6), Health Information Privacy acknowledgement (Section 6), List of Researchers in the Field (Section 7) and Administrative Agreement (Section 8) **MUST** be included, using the attached forms.
3. During the preparation of grant applications, novice applicants are strongly advised to consult with colleagues experienced in research. It is important that projects are designed to produce objective and reproducible findings, and this can be a challenge particularly for clinical research based in dental practice.
4. Applicants are advised that under the funding agreement with the Ministry of Health, the New Zealand Dental Association is to ensure that (i) where the funding to any one applicant is for a sum between \$10,000 and \$25,000, either a proportion of the funding will be retained until the work is completed to the Ministry of Health's satisfaction or payments will be attached to deliverable milestones (e.g. the production of a progress and final report); (ii) where the funding to any one applicant is for a sum greater than \$25,000, the payments will be attached to deliverable milestones. The Ministry of Health will not enter into any contracts with applicants that enable the applicant to retain any surplus payments as profit. All payments will be attributed to milestones.
5. For research which has relevance to commercially available drugs, materials or instruments, applicants are advised to check with the suppliers to see if they might be made available for the research project at a reduced cost.
6. Project grants are made at the awarding panel's discretion for any period not exceeding three (3) years. Grants may be activated at any time within six (6) months of the date of award. The NZDA will advise successful applicants of the invoicing process to obtain the funds.
7. The NZDA and the Ministry must be satisfied that you and your proposal meet certain eligibility criteria before your proposal will be considered for the Ministry funding. The eligibility criteria are that the NZDA are satisfied your proposal complies with these Guidelines, in particular that your proposal has focused on the priority or action areas identified in the Ministry's strategic document *Good Oral Health for All, for Life* (2006) or *Maternal and Child Oral Health – Systemic Review and Analysis*.
8. The following criteria will be used when assessing the proposals received and selecting the projects to fund (if any). The criteria are not in any particular order, are not exhaustive and will not necessarily be accorded equal weight or any particular weight at all: e.g. experience and expertise of organisation, the capacity of the proposer, the extent the proposal will reduce inequalities, suitability of approach, and cost efficiency.
9. The **Lay Summary** of research (Section 1) **must** be intelligible to those not expert in the field of research proposed, and include a brief progress update on your research if your current application seeks additional funding towards a project previously supported by the Ministry of Health. The Lay Summary must be confined to **300 words or less**. The **remaining sections** of the application should be written for experts in the field and referenced appropriately.
10. Provision is made for amounts to be requested for the employer's contribution to an approved superannuation scheme for personnel employed on a grant (Section 5). This is not required for staff employed on grants held within universities.
11. The cost of domestic travel may be requested as a working expense. If your application includes travel expenses please provide justification for the travel under the section *Details of Travel Expenses*.
12. Expenses of appointment of staff may be requested up to the rates and allowances of the sponsoring body (Section 5).
13. Successful applicants **must** provide an annual report to the NZDA Associate Director – Research and Policy (below), on their research. This must be **submitted by 1 June** in the year following the research award.
14. **Eleven** copies of the application (**includes** original unstapled master) should be mailed to: the Associate Director – Research & Policy, NZDA Research Foundation Board, PO Box 28 084, Remuera, Auckland 1541, or couriered to: NZDA House, Building 1, 195 Main Highway, Ellerslie, Auckland 1051.
15. Please also forward an **e-copy** of your application in **PDF format** not exceeding 5MB format to Associate Director – Research & Policy – E-mail: research@nzda.org.nz.

The Ministry of Health Oral Health Research Fund

There is very little New Zealand-specific oral health research, or comprehensive evaluation of oral health interventions in current use which is hindering informed policy and programme development at both the Ministry of Health and sector levels.

The Ministry has provided research funding and will make available \$140,000 annually for community, university or practice-based research in fields related to oral health. The New Zealand Dental Research Foundation Board has been appointed by the Ministry to manage this Fund. The application assessment meeting is held mid- to late July each year in conjunction with the annual research awards from the Foundation.

Background

The Ministry of Health Oral Health Research Fund:

- Complements other research funds which tend to fund larger scale scientific and clinical research rather than smaller oral health related research which is the focus of the fund.
- Supports applied and service-related research by practitioners and interested persons working in the publicly funded sector, tertiary training institutions and the private sector
- Stimulates researchers in the public, private and university sector to undertake work of benefit to publicly funded oral health services, thus building greater understanding and alliances across disciplines and between academics and working clinicians
- In some cases provides seeding funding to support the development of practical research projects
- Promotes the dissemination of research findings to the sector (e.g. through publication in journals and magazines, circulation of research reports to DHBs, service managers, clinicians and other stakeholders, and presentations to professional groups and sector forums)
- Fosters oral health research in New Zealand that generates information relevant to the Ministry of Health's oral health policy priorities.
- Provides the opportunity to collect and analyse objective or subjective information on New Zealander's oral health that is not available through other means such as health system records.

Priorities

Applications are invited for grants to support research in oral health-related areas. The Ministry of Health Oral Health Research Fund will prioritise:

Research, including, applied clinical, non-clinical, epidemiological, or operational¹ research:

- related to publicly funded services, in particular the Community Oral Health Service, Maori oral health services, adolescent dental services provided by private dentists under the Combined Dental Agreement, hospital dental services, DHB subsidised / supported basic or emergency oral health care for low-income populations, WINZ-funded oral health care, and Well Child / Tamariki Ora services
- related to the reduction of inequalities in enrolment and service utilisation within publicly funded oral health services, and in child and adolescent oral health outcomes, particularly for Maori and Pacific children or adolescents

¹ "Operational" research includes research related to arrangements for service delivery (for example, service structure and operations, enrolment, referral, booking and recall processes, systems for monitoring service performance, etc).

Priorities (continued)

- related to improvements in maternal oral health
- related to service development and service innovation within publicly funded oral health services
- related to oral health promotion at the personal health level (eg, toothbrushing programmes) and at the population level (eg, community water fluoridation).
- related to diseases of the oral region in people of all ages with physical, intellectual, behavioural or cognitive disabilities, who are medically compromised and/or have higher prevalence in people experiencing inequalities in outcome (eg Māori, Pacific and low-income populations)

Evaluation and analysis of:

- models of service delivery, potential new services within existing policy settings, or trials of new service arrangements
- models of workforce development and training relevant to publicly funded oral health services
- clinical practice and intervention programmes relevant to the reduction of inequalities in oral health outcomes.

Review and analysis of:

- international scientific and clinical literature relevant to publicly funded oral health services in New Zealand
- Community Oral Health Service data
- Confidentialised Unit Record File (CURF) datasets from the New Zealand Health Survey, the Oral Health Survey 2009, and other New Zealand survey datasets as they become available.

Exclusions

The Oral Health Research Fund does not usually support:

- salaries for full-time, permanent employees of universities, DHBs or other publicly funded providers
- capital items or non-consumable items such as laptops or dental equipment which usually would be available within the service
- research into specialist dental procedures predominantly carried out in the private sector (such as implants or cosmetic dentistry) unless carried out as part of integrated patient care facilitated by a publicly funded service
- research undertaken outside New Zealand
- international travel.

Multi-stage research

Where a research project involves sequential stages that are dependent on the outcome of preceding stages, each stage must be costed separately and dependencies identified. If applications for grants for any such projects are approved, the grants for second and any later stages of each project will be regarded as provisional, pending satisfactory progress reporting.

Application for Project Grant

Please provide information under the following headings:

Section 1 – Summary of Application

Applicant: Title/First name/Surname, Position/Department/Institution, Address, Title of project, Summary of research written in plain English for the lay reader, Total support requested.

Section 2 – Personal Data

Full name, Position, Degree/Diplomas/University/Year conferred/Field, Honours/Prizes, Academic and/or Research Experience, Total number of publications, Most important recent publications (maximum 5), Working time to be devoted to the project (approx. percentage).

Section 3 – Proposed Investigation

Aims of investigation, Background, Research design including specific objectives, research hypotheses, experimental approach, methodological detail and statistical analysis, Significance of this research, Relevant previous research by applicant, Results obtained by others with key references. Restrict this section to no more than four (4) A4-sized pages. If the investigation uses a questionnaire(s) then applicants are strongly advised to include a copy of their questionnaire(s) as an appendix to their application. There is no page limit to the size of the questionnaire(s).

Section 4 – Supporting Information

Describe what effect this research will have on oral health inequalities.

Describe the facilities available to you to conduct this research. List and explain the role of each research worker. Justify each proposed item of expenditure. List financial support already obtained for this research or other applications in progress. Give names, mailing addresses and e-mail addresses of **four** researchers with expertise in this area of study along with a brief statement of their contribution to the field. Sign the *List of Researchers in the Field* statement to confirm this information has been provided. If ethical agreement is not required please state why.

Section 5 – Details of grant requested

Salaries

For each year. The justification for inclusion of salary costs must be clearly explained. Institutional overheads will not be funded through these project grants.²

Equipment:

These are individual items or 'integrated systems' costing over \$500 (normally one request in the first year). Quotations from suppliers are essential and must be appended. Items of equipment costing less than \$500 are to be budgeted as working expenses. Application for equipment should include a statement of the expected rate of use by the applicant or other research workers.²

Working expenses:

List each item of expenditure under the following headings: Materials and consumables, Computer charges, Technical services, ACC levies, travel, Publication costs (specify), Other costs (specify).

Note: Institutional overheads will not be funded through these project grants.

Section 6 – Ethical and Privacy Agreements (complete attached form)

Section 7 – List of Researchers in the Field (sign confirmation)

Section 8 – Administrative Agreement (complete attached form)

² Note guidance on payment of salaries and capital items (see Exclusions – page 3)

Details of Travel Expenses

Please provide information under the following headings:

Proposed Travel

For example: Full description of conference(s) or courses to be attended and/or laboratories to be visited. Provide full itinerary. Note: International travel is not usually funded.

Supporting information

Describe what effect this travel will have on the research and its ability to reduce health inequalities.

Provide abstract/summary of any paper/lecture that you will present. Describe research projects which you consider will benefit from this travel. State how your research/academic career will benefit. List your overseas travel in past three years. Provide evidence that your employer will grant leave to allow this travel. List financial support already obtained for this travel. Give names, mailing addresses and e-mail addresses of **four** researchers with expertise in this area of study along with a brief statement of their contribution to the field and sign the *List of Researchers in the Field* statement to confirm this information has been provided.

Details of Travel

State full cost of air fares etc. Detail daily support requested, Travel insurance. A quotation from a travel agent is essential and must be appended.

Note – Give relevant totals.

Grant for any other project that will promote oral health research or research findings in New Zealand (eg publication expenses, expenses in relation to organizing a conference etc)

Please provide information under the following headings:

Section 1 - Summary of application

Applicant: Title/First name/Surname, Position/Department/Institution, Address, Brief summary of the activity to be funded, Total support requested.

Section 2 – Personal Data

Full name, Position, Birth date, Degrees/Diplomas/University/Year conferred/Field Honours/Prizes, Academic and/or research experience, Total number of publications, Most important recent publications (maximum 5), Total working time to be devoted to the activity (approx. percentage).

Section 3 – Proposed Activity

Full description of the activity to be funded.

Section 4 – Supporting Information

Describe research projects which you consider will benefit from this activity, State how your research/academic career will benefit. State what effect this research will have on reducing oral health inequalities. State how oral health research in New Zealand will benefit generally from this activity. List financial support already obtained. Give names, mailing addresses and e-mail addresses of **four** researchers with expertise in this area of study along with a brief statement of their contribution to the field and sign the *List of Researchers in the Field* statement to confirm this information has been provided.

Section 5 – Details of grant requested

State the anticipated expenses. Give relevant totals.

Section 6 – Ethical and Privacy Agreements

Section 7 – List of researchers in the Field

Section 8 – Administrative Agreement (complete attached form)

Ethical Agreement

Title of Project:

You are *not* required to apply for Ethics Committee approval *prior* to submitting this grant proposal. However, the undersigned signify that in any research project involving experimentation with animal or human subjects, a properly constituted University or other recognised Ethics Committee will examine the proposal to ensure that it meets with appropriate ethical standards for such experimentation.

The undersigned also acknowledge that if a grant is awarded and prior ethics approval has *not* been obtained, it is awarded **subject** to the New Zealand Dental Association Research Foundation Board being sent evidence that ethical approval **has been applied for and conferred**, before the grant is activated.

Signed.....
(Applicant) (Date)

Signed.....
(Head of School,
Faculty or Institution) (Date)

Health Information Privacy

The Privacy Act 1993 imposes certain obligations on researchers. For example, if individuals can be identified in a report, permission must be obtained from each person; records concerning human subjects must be kept safe and secure; records collected for one purpose may not be used for another; and all personal research information must be destroyed when a project is complete.

The undersigned signify that all relevant requirements of the Health Information Privacy Code 1994 will be complied with in this research.

Signed.....
(Applicant) (Date)

List of Researchers in the Field

The undersigned researcher has provided the names, mailing addresses and e-mail addresses of **four** researchers with expertise in this area of study along with a brief statement of their contribution to the field.

Signed.....
(Applicant) (Date)

Administrative Agreement

- 1 It is understood and agreed by the undersigned that any grant received as a result of this application is subject to conditions regarding grants made from time to time by the Dental Research Foundation and that the grant funds will not be expended for any other purpose than that described in this application without the prior consent of the Dental Research Foundation.
- 2 The host institution agrees and undertakes to bear all risks and claims connected with any operation covered by this application and to indemnify and hold harmless the Dental Research Foundation against any and all liability suits, actions, demands, damages, costs or fees on account of death, injuries to persons or property, or any other losses resulting from or connected with any act or omission performed in the course of the research.
- 3 The host institution agrees and undertakes to support for the duration of any grant the work described in this application by making available accommodation, basic facilities for research and the services necessary for its fulfilment.

We the undersigned have read the administrative agreement above and undertake to abide by the conditions of this agreement in respect of any grant made by the Dental Research Foundation as a result of the present application.

Signed.....
(Applicant) (Date)

Signed.....
(Head of Department) (Date)

Signed.....
(Head of School,
Faculty or Hospital) (Date)

Signed.....
(Authorised official on
behalf of host institution,
University or District Health Board) (Date)